

## The Ernest J. Gregory Comptroller/Deputy Comptroller Award

ASMC is proud to recognize the outstanding leadership and service of Ernest J. Gregory to the defense financial management community in the designation of this award in his honor. Mr. Gregory served our nation for 37 years. He cared deeply for military service members and he inspired all those he led and with whom he worked to strive for excellence in conducting financial operations and providing financial management services in their support. He was a friend and mentor to many of us in the Department of Defense financial management community and was a lifetime member of ASMC. He served as the Vice President (Army) and as Secretary on the ASMC National Executive Committee and spoke at innumerable Professional Development Institutes and Washington Chapter events. Ernie was a beloved colleague and friend who left a legacy of professionalism, excellence, and investment in the lives of others. This award will recognize one individual among those nominated in the Comptroller/Deputy Comptroller category who not only excels within the functional field of comptrollership, but who also demonstrates a dedication to leadership, operational excellence, professional development, ethics, and mentorship.

ASMC National Achievement Awards are presented annually to individuals and teams who have been nominated for outstanding accomplishment within one of the functional fields of comptrollership.

A distinguished and meritorious award may be presented for achievements at "Major Command Headquarters or Higher" and "Unit Under a Major Command." The "Major Command Headquarters or Higher" category consists of offices at Under Secretary or Deputy Assistant Secretary levels within the Office of the Secretary of Defense (OSD); Headquarters organizations at Military Departments or Military Services; "major commands" directly under the Military Departments and Services; HQ of defense agencies, such as DLA, DFAS, DCAA, DCMA, etc.; HQ of combatant commands (COCOMs, including Geographic and functional commands); and HQ, US Coast Guard. Individuals in commands, centers, field activities, and other organizations under major command and higher level organizations will compete in the category of "Unit Under a Major Command."

### Accounting

Responsibilities at various levels include planning and directing the accounting operation to best serve management's needs, including the development and presentation of information in support of management decision-making; development of accounting policies and procedures, interpretation and application of accounting principles and standards; financial reporting, including preparation and presentation of the basic financial statements, related notes and disclosures, management's discussion and analysis, and required supplementary information; ensuring the integrity of financial and accounting information; audit readiness including internal controls over financial reporting, communication with auditors, planning for and responding to "provided by client" (PBC) items, internal testing of transactions, preparation of internal control assurance statements, and working audit findings and recommendations; valuation of property, plant, and equipment (PPE); considerations regarding materiality, review and analysis of accounting transactions, information and trends, analysis and resolution of problem disbursements, etc.; and aiding in the design, development and inspection of accounting systems.

### Finance

Responsibilities at various levels include managing, controlling, review and analysis of military and civilian pay, military retired pay, commercial pay, travel pay, disbursing, call center, and other associated financial operations and services in peacetime, wartime, and contingency operations. It also includes development of financial management policy and procedures associated with these operations and maintenance of internal controls to manage financial services and analysis operations, as well as performance of audit readiness functions relative to finance services operations.

### Acquisition/Cost Analysis

Responsibilities include acquisition program decision support, project management, economic analysis, management analysis, business case analysis, and cost/benefit analysis. It also includes special studies, cost modeling, and parametric cost modeling, as well as involvement in driving cost management culture and program or operational cost savings.

### Auditing

Responsibilities involve the planning and conduct of financial statement audits, performance audits, and attestation engagements and also the preparation and presentation of associated reports - all in accordance with government auditing standards. Financial statement audits include all the activities associated with providing an opinion as to whether an organization's financial statements are presented fairly, in all material respects, in accordance with the applicable financial reporting framework. Performance audits include review of the efficiency and effectiveness of resource utilization and the extent to which desired program or operating results and benefits are being achieved. Auditing includes the determination and presentation of audit findings and recommendations (as well as their documentation and support) and also estimation of savings that can be generated from the implementation of audit recommendations.

## **Budgeting**

Responsibilities include planning, managing, or involvement in formulation, submission, justification, and execution of organization budgets. It also includes development of estimates, preparation of reports (e.g., SF 132 and SF 133) and special schedules, development and management of unfinanced requirements, internal controls over the integrity of obligations, and all other areas of compliance with OMB Circular A-11 requirements associated with the budgeting function. Key functions also include review and analysis of the relationships between resources and the organization's mission and functions, such as analyzing accounting reports, researching program activities, preparing and presenting briefings on programs, reviewing financial plans, conducting analysis of alternative uses of funds, and other activities in support of management decision-making.

## **Comptroller/Deputy Comptroller**

Responsibilities include planning, management, and control of finance and accounting, budget, and audit readiness operations for a command, installation, or component. The comptroller has primary staff responsibility for obtaining, administratively controlling, distribution, and accounting for funds needed to accomplish the mission of the organization. The comptroller participates in the establishment and management of goals, objectives, initiatives, policies, procedures, and accountability related to an organization's mission. He or she is also involved in organizational performance management, risk management, financial reporting, and internal control operations. Among the nominees for this award, one individual will be selected for the Ernest J. Gregory Comptroller/Deputy Comptroller of the Year Achievement Award, based upon their achievements in the field of comptrollership, leadership, and dedication to professional development, ethics, and mentorship.

## **Contractor Support**

This category recognizes the value provided in contractor support to a government organization. The contractor must have worked for the organization for a minimum of one calendar year and made significant contributions to one or more of the fields of Comptrollership.

## **Intern/Trainee**

This award recognizes an outstanding individual who has been in a trainee program for a minimum of six months of the awards calendar year and who has significantly contributed in one of the fields of comptrollership.

## **Resource Management**

This category recognizes outstanding performance by an individual who works in financial management; for example, planning, programming, cost management, financial systems management, and execution of FM related programs or projects involving superior contributions to resource management that do not fit into the other categories.

## **Team Achievement**

This award is for outstanding team performance and recognizes teams whose accomplishments, adoption of study results or outcome of savings, aid in the advancement of the profession of military comptrollership. A team is defined as at least two or more, but not exceeding twenty, individuals brought together temporarily to perform a specific task. Teams will compete as a small team (2 to 10) or a large team (11 to 20). A team includes a leader and members (no exceptions to size limits), brought together temporarily to perform a specific task outside of normal functions or operations or the purview of the current structure. Contract personnel may be listed as members, but the team must be primarily government employees.

## **Award Criteria**

Any military or civilian person currently employed by the Department of Defense (to include National Guard & Reserve Components) or the United States Coast Guard may be nominated. A member or non-member of ASMC may be nominated. Individual contractors can only be nominated for an award in the individual category of Contractor Support.

## **Submission Requirements**

Any personnel in the Department of Defense or the United States Coast Guard (to include National Guard or Reserve Components) may nominate a person or team who has demonstrated outstanding performance, including exceptional achievements. If the nomination is through an ASMC Chapter, chapters must submit all qualified nominations received. Each nomination must be submitted via the ASMC Awards Online website by the published deadline. The award justification is limited to the space provided, citing specific and factual details. Nominations should strive to include the most relevant quantitative and qualitative information possible focusing upon the results achieved by the accomplishments. If an individual is nominated in more than one category, prepare a separate entry for each category. The online form must be filled out completely to be considered.

## Award Selection Procedures

Judging will be based on outstanding performance as evidenced by documentation of:

### Impact: (50% weighted)

Focused on the results and impacts the accomplishment had across the organization and/or functional communities.

### Complexity and Leadership: (35% weighted)

Focused on the level of difficulty involved in developing and implementing the achievement(s) and the specific leadership skills demonstrated.

### Resource Savings: (15% weighted)

Focused on the amount of actual or projected monetary and/or non-monetary savings; manpower savings; time savings; and period of savings (year of execution, budget year, POM, etc.

A selection panel, chaired by an ASMC national officer will review all submissions and make final recommendations to the National Executive Committee, who will approve the final award winners. The selection panel will include not less than five members representing a cross section of ASMC Membership, for each award category. A judge must recuse him/herself if a conflict of interest exists. Winners will be notified by not later than May 1.

The Ernest J. Gregory Comptroller/Deputy Comptroller of the Year Achievement Award is limited to one recipient. The number of awards presented in other achievement award categories will be dependent upon the number and quality of the nominations, not to exceed four awards in any one category, with a minimum of one per category. If less than 20 nominations in a category, winners may be chosen without regard to level of command designation. There is a limit of one

winner per category from the same office in the same year. A minimum of one award will be provided for the small and the large team. Dependent on the quality of the nominations, up to two awards may be given in each grouping. No team will be permitted to win in more than one category within the same year. No individual or team will be permitted to be a repeat winner in the same category within a five-year time period.

## Deadline

Nominations must be submitted on the ASMC Awards Online website by January 31 to be considered by the selection panel. If January 31 falls on a weekend or holiday, the deadline will be the next business day.

## Recognition

Awards will be presented at the annual ASMC National Professional Development Institute (PDI) and winners will be listed on the ASMC website. Nominating officials should encourage TDY travel approving officials in organizations to fund the travel of individuals selected as award recipients, so they may be available at the annual PDI to receive award and be most appropriately recognized among their peers and other members of the financial management community. The National PDI registration fee for ASMC individual achievement award winners and/or one representative from winning teams will be complimentary for the PDI at which the award is presented. The winner or his/her command will be responsible for any other expense related to attendance should the recipient be approved to attend.

## For More Information

National Awards Committee  
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