

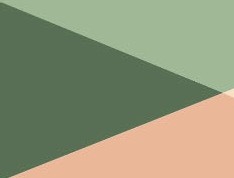
A TREATMENT IMPROVEMENT PROTOCOL

**Improving Cultural Competence**

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**Improving Cultural Competence**

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**U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES**

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Improving Cultural Competence

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## What Is a TIP?

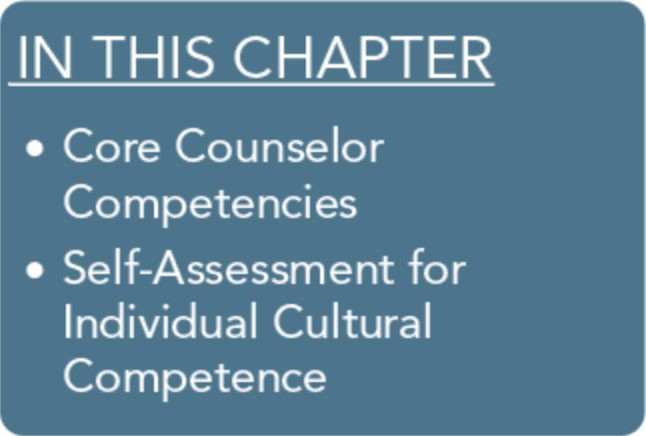
Treatment Improvement Protocols (TIPs) are developed by the Substance Abuse and Mental Health Services Administration (SAMHSA) within the U.S. Department of Health and Human Services (HHS). TIPs are best practice guidelines for the treatment of substance use disorders.

TIPs draw on the experience and knowledge of clinical, research, and administrative experts to evaluate the quality and appropriateness of various forms of treatment. TIPs are distributed to facilities and individuals across the country. Published TIPs can be accessed via the Internet at [http://store.samhsa.gov.](http://store.samhsa.gov/)

Although each TIP strives to include an evidence base for the practices it recommends, SAMHSA recognizes that the field of substance abuse treatment is continually evolving, and research frequently lags behind the innovations pioneered in the field. A major goal of each TIP is to convey front-line information quickly but responsibly. If research supports a particular approach, citations are provided.

XI

## Core Competencies for Counselors and Other Clinical Staff

Gil, a 40-year-old Mexican American man, lives in an upper mid­ dle class neighborhood. He has been married for more than 15 years to his high school sweetheart, a White American woman, and they have two children. Gil owns a fleet of street-sweeping

trucks-a business started by his father-in-law that Gil has ex­ panded considerably. Of late, Gil has been spending more time at work. He has also been drinking more than usual and dabbling in illicit drugs. As his drinking has increased, tensions between Gil and his wife have escalated. From Gil's perspective and that of some family members and friends, Gil is just a hard-working guy who deserves to have a beer as a reward for a hard day's work.

Many people in his Mexican American community do not consid­ er Gil's low-level daily drinking a problem, especially because he drinks primarily at home.

Recently, Gil had an accident while working on one of his trucks. The treating physician identified alcohol abuse as one of several health problems and referred him to a substance abuse treatment center. Gil attended, but argued all the while that he was not a *borracho* (drunkard) and did not need treatment. He distrusted the counselors, stating that seeking help from professionals for a men­ tal disorder was something that only *gabachos* (Whites) did. Gil was proud of his capacity to "hold his liquor" and felt anger and hostili­ ty toward those who encouraged him to reduce his drinking. Gil's feelings and attitudes were valid; they stemmed from and were in­ fluenced by the Mexican American culture and community in which he had been raised from infancy. Gil dropped out of treat­ ment. When his wife threatened to divorce him ifhe did not take immediate action to deal with his drinking problem, he reluctantly

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enrolled in an outpatient treatment program. Gil, like all people, is a product of his envi­ ronment-an environment that has provided him with a rich cultural and spiritual back­ ground, a strong male identity, a deep attach­ ment to family and community, a strong work ethic, and a sense of pride in being able to support his family. In many Mexican American cultural groups, illness disrupts family life, work, and the ability to earn a living. Illness has psychological costs as well, including threats to a man's self-identity and sense of manhood (Sobralske 2006). Given this back­ ground, Gil would understandably be reluctant to enter treatment, to accept the fact that his drinking was a problem or an illness, and to jeopardize his ability to care for his family and his company. A culturally competent counselor would recognize, legitimize, and validate Gil's reluctance to enter and continue in treatment. In an ideal situation, the treatment counselor would have experience working with people with similar backgrounds and beliefs, and the treatment program would be structured to change Gil's behavior and attitudes in a man­ ner that was in keeping with his culture and community. His initial treatment might have succeeded if the counselor had been culturally competent and the treatment program had been culturally responsive.

Like Gil, all clients enter treatment carrying beliefs, attitudes, conflicts, and problems shaped by their cultural roots as well as their present-day realities. As with Gil, many clients enter treatment with some reluctance and denial. Research shows that if clients such as Gil are greeted by a culturally competent counselor, they are more likely to respond positively to treatment (Damashek et al. 2012; Griner and Smith 2006; Kopelowicz et al.

2012; Whaley and Davis 2007). The presence of counselors of any race or gender who are culturally competent in responding to the needs and issues of their clients can greatly

assist client recovery. Gaining regard, respect, and trust from clients is crucial for successful counseling outcomes (Ackerman and Hilsen­ roth 2003; Sue and Sue *2003a).*

Effective therapy is an ongoing process of building relational bridges that engender trust and confidence. Sensitivity to the client's cultural and personal perspectives, genuine empathy, warmth, humility, respect, and ac­ ceptance are the tenets of all sound therapy.

This chapter expands on these concepts and provides a general overview of the core com­ petencies needed so that counselors may provide effective treatment to diverse racial and ethnic groups. Using Sue's (2001) multi­ dimensional model for developing cultural competence, the content focuses on the coun­ selor's need to engage in and develop cultural awareness; cultural knowledge in general; and culturally specific skills and knowledge of wellness, mental illness, substance use, treat­ ments, and skill development.

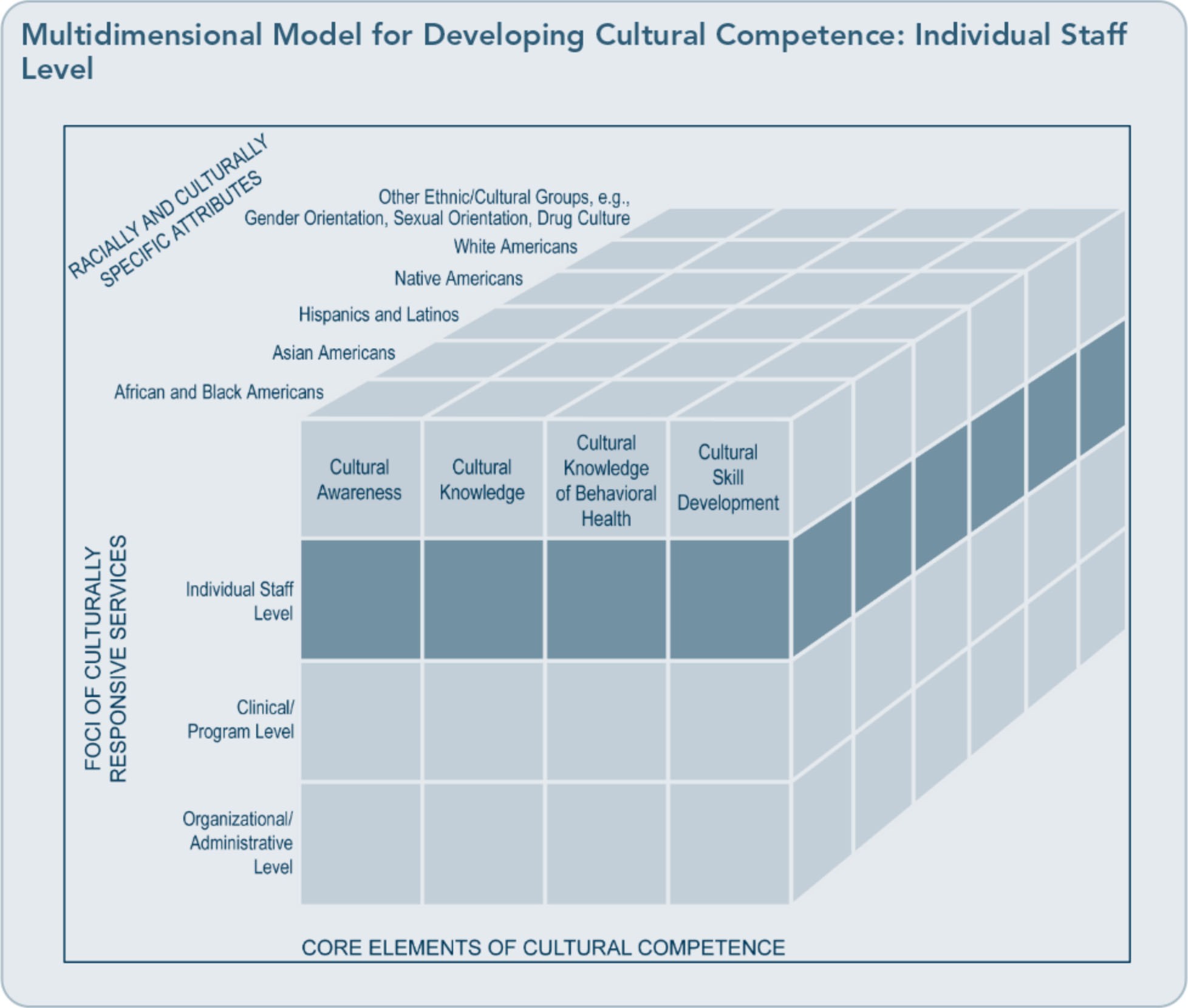
### Core Counselor Competencies

Since Sue et al. introduced the phrase "multi­ cultural counseling competencies" in 1992, researchers and academics have elaborated on the core skill sets that enable counselors to work with diverse populations (American Psychological Association [APA] 2002; Council of National Psychological Associations for the Advancement of Ethnic Minority Interests 2009; Pack-Brown and Williams 2003; Tseng and Streltzer 2004).

Cultural competence has evolved into more than a discrete skill set or knowledge base; it also requires ongoing self-evaluation on the part of the practitioner. Culturally competent counselors are aware of their own cultural groups and of their values, assumptions, and biases regarding other cultural groups. Moreo­ ver, culturally competent counselors strive to

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Chapter 2-Core Competencies for Counselors and Other Clinical Staff



understand how these factors affect their ability to provide culturally effective services to clients.

Given the complex definition of culture and the fact that racially and ethnically diverse clients represent a growing portion of the client population, the need to update and expand guidelines for cultural competence is increasing. The consensus panel thus adapted existing guidelines from the Association of Multicultural Counseling for culturally re­ sponsive behavioral health services; some of their key suggestions for counselors and other clinical staff are outlined in this chapter.

#### Self-Knowledge

Counselors with a strong belief in evidence­ based treatment methods can find it hard to relate to clients who prefer traditional healing methods. Conversely, counselors with strong trust in traditional healers and culturally ac­ cepted methods can fail to understand clients who seek scientific explanations of, and solu­ tions to, their substance abuse and mental health problems. To become culturally compe­ tent, counselors should begin by exploring their own cultural heritage and identifying how it shapes their perceptions of normality, abnormality, and the counseling process.

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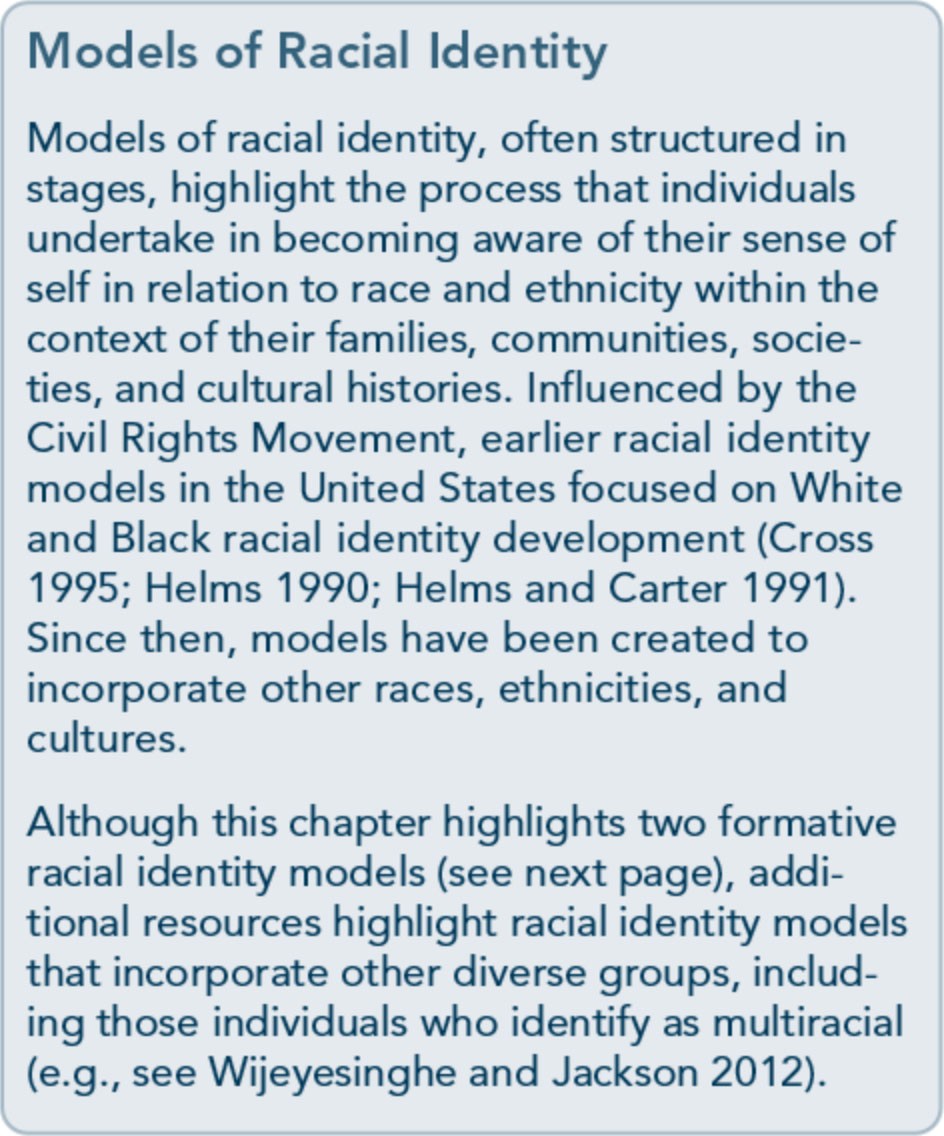
Improving Cultural Competence

Counselors who understand themselves and their own cultural groups and perceptions are better equipped to respect clients with diverse belief systems. In gaining an awareness of their cultures, attitudes, beliefs, and assumptions through self-examination, training, and clini­ cal supervision, counselors should consider the factors described in the following sections.

***Cultural* awareness**

Counselors who are aware of their own cultural backgrounds are more likely to acknowledge and explore how culture affects their client­ counselor relationships. Without cultural awareness, counselors may provide counseling that ignores or does not address obvious issues that specifically relate to race, ethnic heritage, and culture. Lack of awareness can discount the importance of how counselors' cultural backgrounds-including beliefs, values, and attitudes-influence their initial and diagnos­ tic impressions of clients. Without cultural awareness, counselors can unwittingly use their own cultural experiences as a template to prejudge and assess client experiences and clinical presentations.They may struggle to see the cultural uniqueness of each client, assuming that they understand the client's life experiences and background better than they really do. With cultural awareness, counselors examine how their own beliefs, experiences, and biases affect their definitions of normal and abnormal behavior. By valuing this aware­ ness, counselors are more likely to take the time to understand the client's cultural groups and their role in the therapeutic process, the client's relationships, and his or her substance­ related and other presenting clinical problems. Cultural awareness is the first step toward becoming a culturally competent counselor.

***Racial, ethnic, and cultural identities*** A key step in attaining cultural competence is for counselors to become aware of their own racial, ethnic, and cultural identities. Although



the constructs of these identities are complex and difficult to define briefly, what follows is an overview. Racial identity "refers to a sense of group or collective identity based on one's perception that he or she shares a common heritage with a particular racial group" (Helms 1990, p. 3). Ethnic and cultural identity is "often the frame in which individuals identify consciously or unconsciously with those with whom they feel a common bond because of similar traditions, behaviors, values, and be­ liefs" (Chavez and Guido-DiBrito 1999, p.

41). Culture includes, but is not limited to, spirituality and religion, rituals and rites of passage, language, dietary habits (e.g., attitudes toward food/food preparation, symbolism of food, religious taboos of food), and leisure activities (Bhugra and Becker 2005).

Aspects of racial, ethnic, and cultural identities are not always apparent and do not always factor into conscious processes for the counse­ lor or client, but these factors still play a role in the therapeutic relationship. Identity devel­

opment and formation help people make sense of themselves and the world around them. If

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Chapter 2-Core Competencies for Counselors and Other Clinical Staff

positive racial, ethnic, and cultural messages are not available or supported in behavioral health services, counselors and clients can lack affirmative views of their own identities and may internalize negative messages or feel disconnected from their racial and cultural heritages. Counselors from mainstream society are less likely to be actively aware of their own ethnic and cultural identities; in particular, White Americans are not naturally drawn into examining their cultural identities, as they typically experience no dissonance when engaging in cultural activities.

In working to attain cultural competence, counselors must explore their own racial and cultural heritages and identities to gain a deeper understanding of personal develop­ ment. Many models and theories of racial, ethnic, and cultural development are available; two common processes are presented below.

Exhibit 2-1 highlights the racial/cultural identity development (R/CID) model (Sue and Sue 1999b) and the White racial identity development **(WRID)** model (Sue 2001).

Although earlier work focused on a linear developmental process using stages, current thought centers on a more flexible process whereby identification status can loop back to an earlier process or move to a later phase.

Using either model, counselors can explore relational and clinical challenges associated with a given phase. Without an understanding of the cultural identity development process, counselors-regardless of race or ethnicity­ can unwittingly minimize the importance of racial and ethnic experiences. They may fail to identify cultural needs and secure appropriate treatment services, unconsciously operate from a superior perspective (e.g., judging a specific behavior as ineffectual, a sign of resistance, or a symptom of pathology), internalize a client's reaction (e.g., an African American counselor feeling betrayed or inadequate when a client of

the same race requests a White American counselor for therapy during an initial inter­ view), or view a client's behavior through a veil of societal biases or stereotypes. By acknowl­ edging and endorsing the active process of racial and cultural identity development, coun­ selors from diverse groups can normalize their own development processes and increase their awareness of clients' parallel processes of identity development. In counseling, racial, ethnic, and cultural identities can be pivotal to the treatment process in the relationships not only between the counselor and client, but among everyone involved in the delivery of the client's behavioral health and primary care services (e.g., referral sources, family members, medical personnel, administrators).The case study on page 41 uses stages from the two models in Exhibit 2-1 to show the interactive process of racial and cultural identity devel­ opment in the treatment context.

Cultural and racial identities are not static factors that simply mediate individual identity; they are dynamic, interactive developmental processes that influence one's willingness to acknowledge the effects of race, ethnicity, and culture and to act against racism and disparity across relationships, situations, and environ­ ments (for a review of racial and cultural identity development, see Sue and Sue 2013c). For counselors and clinical supervisors, it is essential to understand the dynamic nature of cultural identity in all exchanges. Starting with a personal appraisal, clinical staff members can begin to reflect-without judgment-on how their own racial and cultural identities influ­ ence their decisions, treatment planning, case presentation, supervision, and interactions with other staff members. Clinicians can map the interactive influences of cultural identity development among clients, the clients' fami­ lies, staff members, the organization, other agencies, and any other entities involved in the client's treatment. Using mapping (see the

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I Exhibit 2-1: Stages of Racial and Cultural Identity Development

**R/CID Model WRID Model**

**Conformity:** Has a positive attitude toward and preference for dominant cultural values; places considerable value on characteristics that represent dominant cultural groups; may devalue or hold negative views of own race or other racial/ethnic groups.

**Dissonance and Appreciating:** Begins to question identity; recognizes conflicting mes­ sages and observations that challenge be­ liefs/stereotypes of own cultural groups and value of mainstream cultural groups; develops growing sense of one's own cultural heritage and the existence of racism; moves away from seeing dominant cultural groups as all good.

**Resistance and Immersion:** Embraces and holds a positive attitude toward and prefer­ ence for his or her own race and cultural heritage; rejects dominant values of society and culture; focuses on eliminating oppression within own racial/cultural group; likely to possess considerable feelings-including distrust and anger-toward dominant cultural groups and anything that may represent them; places considerable value on characteristics that represent one's own cultural groups without question; develops a growing appre­ ciation for others from racially and culturally diverse groups.

**Introspection:** Begins to question the psycho­ logical cost of projecting strong feelings toward dominant cultural groups; desires to refocus more energy on personal identity while respecting own cultural groups; realigns perspective to note that not all aspects of dominant cultural groups-one's own ra­ cial/cultural group or other diverse groups­ aregood or bad; may struggle with and expe­ rience conflicts of loyalty as perspective broadens.

**Integrative Awareness:** Has developed a secure, confident sense of racial/cultural identity; becomes multicultural; maintains pride in racial identity and cultural heritage; commits to supporting and appreciating all oppressed and diverse groups; tends to recognize racism as a societal illness by which all can be victimized.

**Naivete:** Had an early childhood developmental phase of curiosity or minimal awareness of race; may or may not receive overt or covert messages about other racial/cultural groups; possesses an ethnocentric view of culture.

**Conformity:** Has minimal awareness of self as a racial person; believes strongly in the universality of values and norms; perceives White American cultural groups as more highly developed; may justify disparity of treatment; may be unaware of beliefs that reflect this.

**Dissonance:** Experiences an opportunity to examine own prejudices and biases; moves toward the realization that dominant society oppresses racially and culturally diverse groups; may feel shame, anger, and depression about the perpetuation of racism by White American cultural groups; and may begin to question previously held beliefs or refortify prior views.

**Resistance and Immersion:** Increases awareness of one's own racism and how racism is projected in society (e.g., media and language); likely feels angry about messages concerning other racial and cultural groups and guilty for being part of an oppressive system; may counteract feelings by assuming a paternalistic role (knowing what is best for clients without their involvement) or overidentifying with another racial/cultural group.

**Introspection:** Begins to redefine what it means to be a White American and to be a racial and cultural being; recognizes the inability to fully understand the experience of others from di­ verse racial and cultural backgrounds; may feel disconnected from the White American group.

**Integrative Awareness:** Appreciates racial, ethnic, and cultural diversity; is aware of and understands self as a racial and cultural being; is aware of sociopolitical influences of racism; internalizes a nonracist identity.

**Commitment to Antiracist Action:** Commits to social action to eliminate oppression and disparity (e.g., voicing objection to racist jokes, taking steps to eradicate racism in institutions and public policies); likely to be pressured to suppress efforts and conform rather than build alliances with people of color.

Sources: Sue *2001;* Sue *and* Sue *1999b.*

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"How To Map Racial and Cultural Identity Development"box on the next page) as prepa­ ration for counseling, treatment planning, or clinical supervision, clinicians can gain aware­ ness of the many forces that influence cultur­ ally responsive treatment.

***Worldview: The cultural lens of counseling***

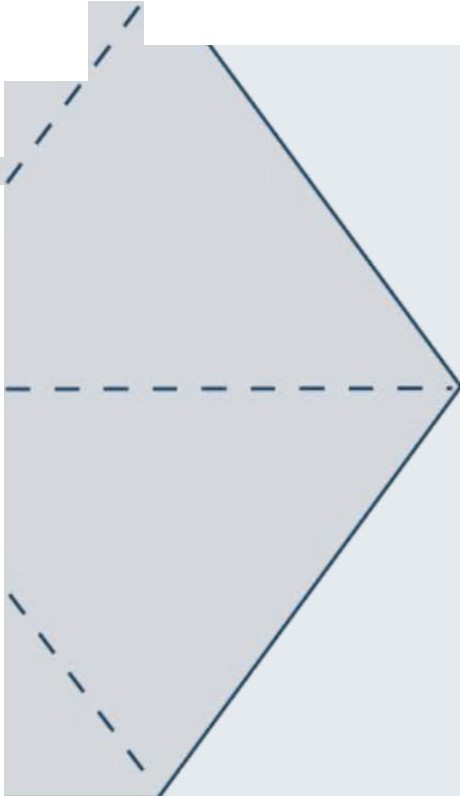
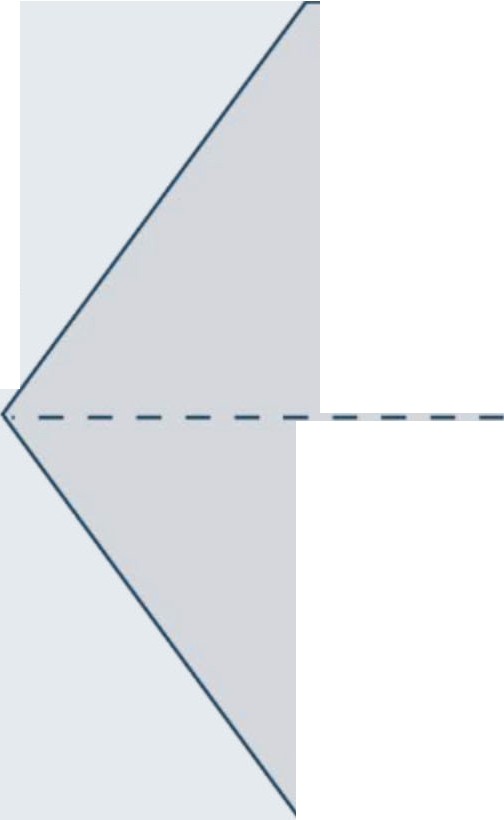
The term "worldview" refers to a set of assumptions that guide how one sees, thinks

about, experiences, and interprets the world (Koltko-Rivera 2004). Starting in early child­ hood, worldview development is facilitated by significant relationships (particularly with parents and family members) and is shaped by the individual's environment and life experi­ ences, influencing values, attitudes, beliefs, and behaviors. In more simplistic terms, each person's worldview is like a pair of glasses with colored lenses-the person takes in all oflife's experiences through his or her own uniquely

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**How To Map Racial and Cultural Identity Development**



Completing this diagram can give a clearer perspective on past and anticipated dialog among key stakeholders. The diagram can be used as a training tool to teach racial and cultural identity devel­ opment, to help clinicians and organizations recognize their own development, to explore clinical issues and dialogs that occur when diverse parties are at similar or different developmental stages, and to develop tools and resources to address issues that arise from this developmental process. Using case studies, this diagram can serve as an interactive educational exercise to help counselors, clinical supervisors, and agencies gain awareness of the effects of race, ethnicity, and cultural groups.

**Materials needed:** Paper and pencils; handouts on the R/CID and WRID models.

**Instructions:**

* Identify all relevant parties, including client, counselor, family, supervisor, referral source, other staff members, and staff from other agencies (e.g., probation/parole, medical center/office, child and youth services). Include yourself. Place the names at each intersection of the hexagon.
* List the common statements and behaviors (including lack of verbal responses) that you witness regarding the cultural needs of the client and/or the general statements made by each party re­ garding race, ethnicity, and culture. Write these as one-line abbreviated phrases that represent each person/agency's stance under the appropriate entry on the diagram.
* Using current information, choose the cultural identity development stage that best fits the statements or behaviors (knowing that you may be inaccurate); write it under each name.

**Probation/Parole**

' ' '

' ' ' /

**Organization**

e.g.• ·we just can't change our policies and procedures to match every circumstance that a client presents."

*(Conformity Phase)*

**Clinical Supervisor** e.g., "We need to begin developing guidelines and strategies to meet client needs I have

'' /

/

'

/

'/

/'

'

**Client**

e.g.. ·1would

benefit more from a White counselor."

become concerned that

clients are leaving /

'

prematurely.· /

'

*(Introspective Phase)* /

'

/

/

/

/

/

/

*(Conformity Phase)*

**Family Counselor**

**e.g** , ·1consider my

approach eclectic. II is focused on the individual needs of clients." *(Conformity Phase)*

tinted view. Not unlike clients, counselors enter the treatment process with their own cultural worldviews that shape their concept of

time; definition of family; organization of priorities and responsibilities; orientation to self, family, and/or community; religious or

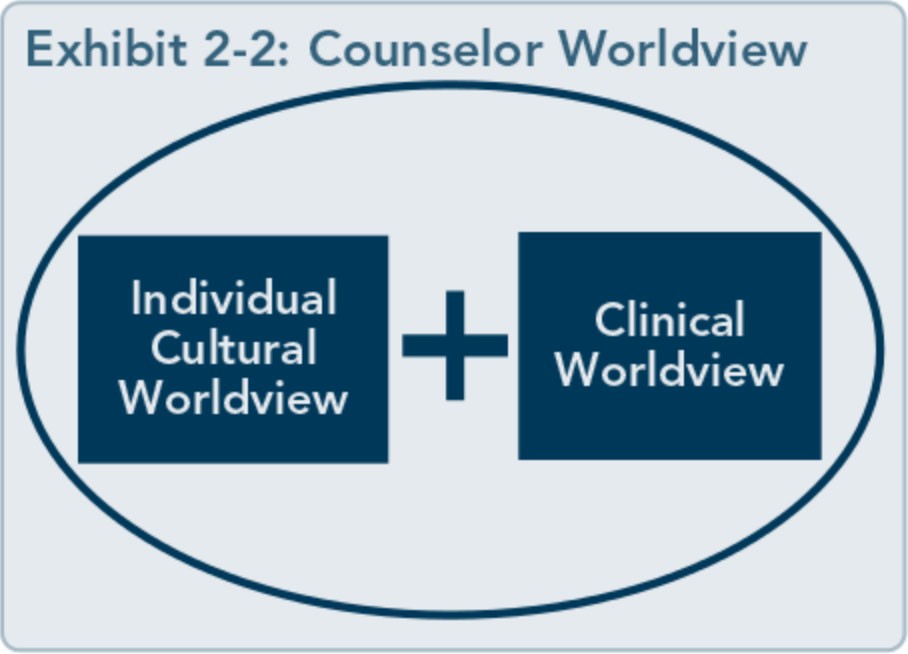
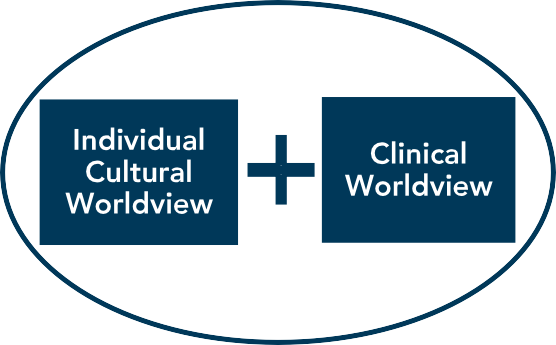
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spiritual beliefs; ideas about success; and so on (Exhibit 2-2).

However, counselors also contend with anoth­ er worldview that is often invisible but still powerful-the clinical worldview (Bhugra and Gupta 2010; Tilburt and Geller 2007; Tseng and Streltzer 2004). Influenced by education, clinical training, and work experiences, coun­ selors are introduced into a culture that re­ flects specific counseling theories, techniques, treatment modalities, and general office prac­ tices. This worldview, coupled with their personal cultural worldview, significantly shapes the counselor's beliefs pertaining to the nature of wellness, illness, and healing; inter­ viewing skills and behavior; diagnostic impres­ sions; and prognosis. Moreover, it influences the definition of normal versus abnormal or disordered behavior, the determination of treatment priorities, the means of intervention, and the definitions of successful outcomes and treatment failures.

Foremost, counselors need to remember that worldviews are often unspoken and inconspic­ uous; therefore, considerable reflection and self-exploration are needed to identify how their own cultural worldviews influence their interactions both inside and outside of coun­ seling. Clinical staff members need to question how their perspectives are perpetuated in and shape client-counselor interactions, treatment decisions, planning, and selected counseling



approaches. In sum, culturally responsive practice involves an understanding of multiple perspectives and how these worldviews inter­ act throughout the treatment process­ including the views of the counselor, client, family, other clients and staff members, treat­ ment program, organization, and other agen­ cies, as well as the community.

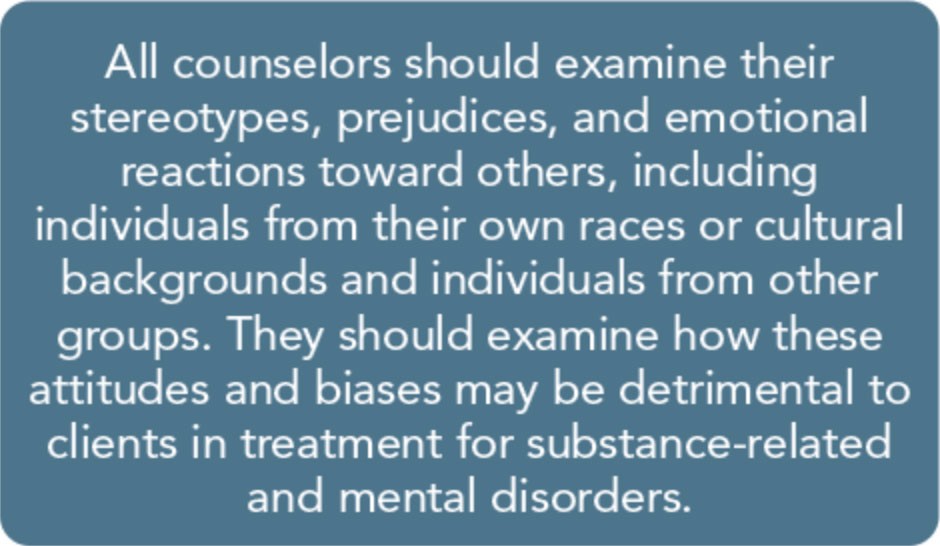
***Stereotypes, prejudices, and history*** Cultural competence involves counselors' willingness to explore their own histories of prejudice, cultural stereotyping, and discrimi­ nation. Counselors need to be aware of how their own perceptions of self and others have evolved through early childhood influences and other life experiences. For example, how were stereotypes of their own races and ethnic heritages perpetuated in their upbringing?

What myths and stereotypes were projected onto other groups? What historical events shaped experiences, opportunities, and percep­ tions of self and others?

Regardless of their race, cultural group, or ethnic heritage, counselors need to examine how they have directly or indirectly been affected by individual, organizational, and societal stereotypes, prejudice, and discrimina­ tion. How have certain attitudes, beliefs, and behaviors functioned as deterrents to obtain­ ing equitable opportunities? In what ways have discrimination and societal biases provid­ ed benefits to them as individuals and as counselors? Even though these questions can be uncomfortable, difficult, or painful to ex­ plore, awareness is essential regarding how these issues affect one's role as a counselor, status in the organization, and comfort level in exploring clients' life experiences and percep­ tions during the treatment process. If counse­ lors avoid or minimize the relevance of bias and discrimination in self-exploration, they will likely do the same in the assessment and counseling process.

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Clients can have behavioral health issues and healthcare concerns associated with discrimi­ nation. If counselors are blind to these issues they can miss vital information that influences client responses to treatment and willingness to follow through with continuing care and ancillary services. For example, a counselor may refer a client to a treatment program without noting the client's history or percep­ tions of the recommended program or type of program. The client may initially agree to attend the program but not follow through because of past negative experiences and/or the perception within his or her racial/ethnic community that the service does not provide adequate treatment for clients of color.

'

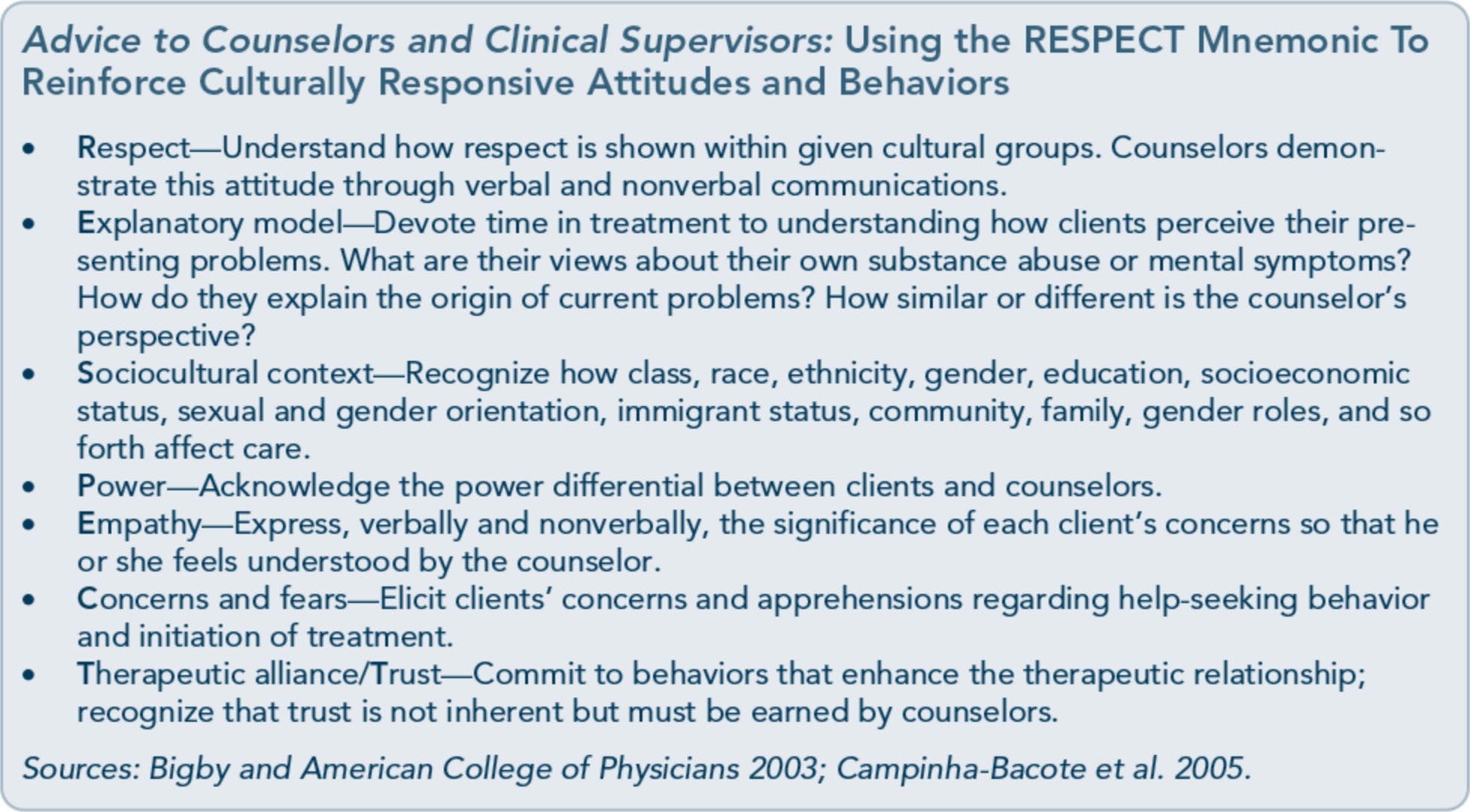
##### *Trust and power*

Counselors need to understand the impact of their role and status within the client­ counselor relationship. Client perceptions of counselors' influence, power, and control vary in diverse cultural contexts. In some contexts counselors can be seen as all-knowing profes- sionals, but in others, they can be viewed as representatives of an unjust system. Counse­ lors need to explore how these dynamics affect the counseling process with clients from diverse backgrounds. Do client perceptions inhibit or facilitate the process? How do they affect the level of trust in the client-counselor relationship? These issues should be identified and addressed early in the counseling process. Clients should have opportunities to talk about and process their perceptions, past experiences, and current needs.

'

##### *Practicing within limits*

A key element of ethical care is practicing within the limits of one's competence. Coun­ selors must engage in self-exploration, critical thinking, and clinical supervision to under­ stand their clinical abilities and limitations



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regarding the services that they are able to provide, the populations that they can serve, and the treatment issues that they have suffi­ cient training to address. Cultural competence requires an ability to assess accurately one's clinical and cultural limitations, skills, and expertise. Counselors risk providing services beyond their expertise if they lack awareness and knowledge of the influence of cultural groups on client-counselor relationships, clinical presentation, and the treatment pro­ cess or if they minimize, ignore, or avoid viewing treatment in a cultural context.

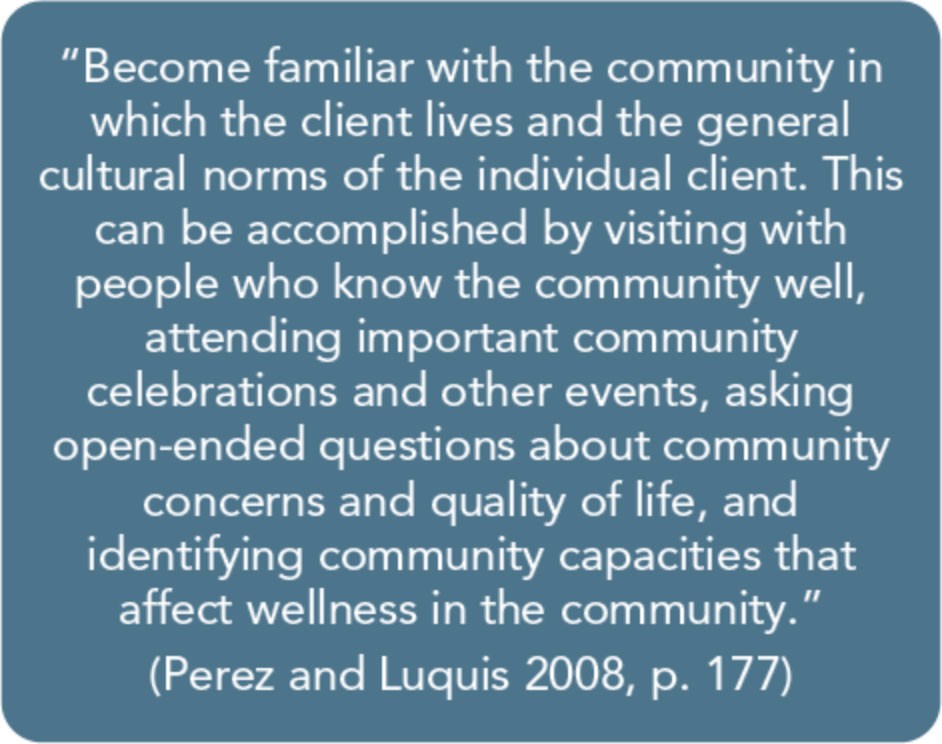
Some counselors may assume that they have cultural competence based on having similar experiences as clients, being from the same race as clients, identifying as a member of the same ethnic heritage or cultural group as clients, or attending training on cultural com­ petence. Other counselors may assume compe­ tence based on their current or prior relationships with others from the same race or cultural background as their clients. These experiences can be helpful and filled with many potential learning opportunities, but they do not make an individual eligible or competent to provide multicultural counseling. Likewise, the assumption that a person from the same cultural group, race, or ethnic herit­ age will intrinsically understand a client from a similar background is operating out of two common myths: the "myth of sameness" (i.e., that people from the same cultural group, race, or ethnicity are alike) and the myth that "fa­ miliarity equals competence" (Srivastava 2007). The Association for Multicultural Counseling and Development adopted a set of counselor competencies that was endorsed by the American Counseling Association (ACA) for counselors who work with a mul­ ticultural clientele (Exhibit 2-3). Competen­ cies address the attitudes, beliefs, knowledge, and skills associated with the counselor's need for self-knowledge.

###### Knowledge of Other Cultural Groups

In addition to an understanding of themselves

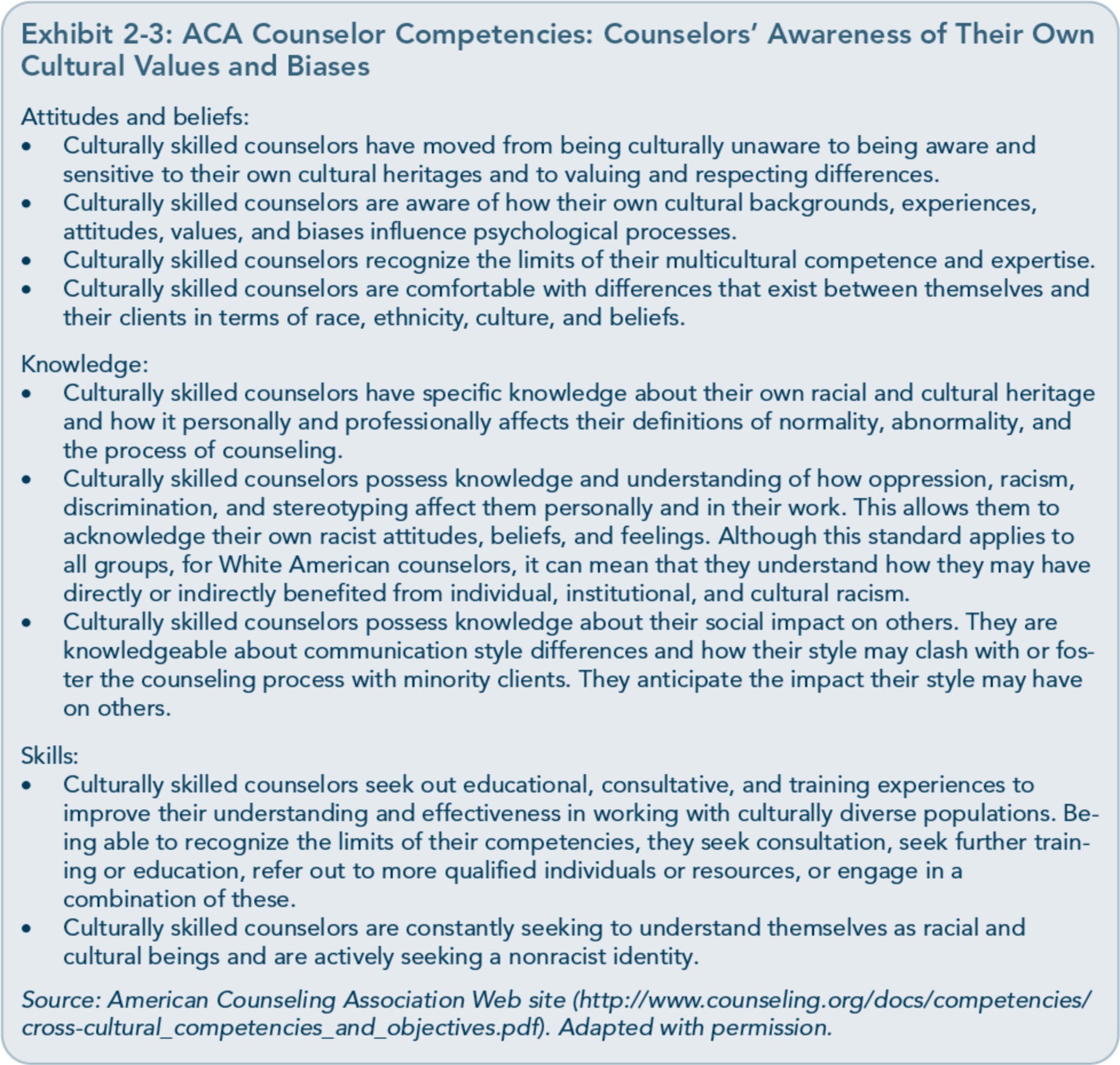
and how their cultural groups and values can affect the therapeutic process, culturally com­ petent counselors work to acquire cultural knowledge and understanding of clients and staff with whom they work. From the outset, counselors need general knowledge and awareness when working with other cultural groups in counseling. For example, they should acknowledge that culture influences commu­ nication patterns, values, gender roles and socialization, clinical presentations of distress, counseling expectations, and behavioral norms and expectations in and outside the counseling session (e.g. , touching, greetings, gift-giving, accompaniment in sessions, level of formality between counselor and client). Counselors should filter and interpret client presentation from a broad cultural perspective instead of using only their own cultural groups or previ­ ous client experiences as reference points.

Counselors also need to invest the time to know clients and their cultures. Culturally responsive practice involves a commitment to obtaining specific cultural knowledge, not only through ongoing client interactions, but also through the use of outside resources, cultural training seminars and programs, cultural events, professional consultations,



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cultural guides, and clinical supervision. Counselors need to be mindful that they will not know everything about a specific popula­ tion or initially comprehend how an individual client endorses or engages in specific cultural practices, beliefs, and values. For instance, some clients may not identify with the same cultural beliefs, practices, or experiences as other clients from the same cultural groups.

Nevertheless, counselors need to be as knowl­ edgeable as possible and attend to these cul­ tural attributes-beginning with the intake and assessment process and continuing

throughout the counseling and treatment relationship. For a review of content areas essential in knowing other cultural groups, refer to the 'What Are the Cross-Cutting Factors in Race, Ethnicity, and Culture" sec­ tion in Chapter 1.These cultural knowledge content areas include:

* Language and communication.
* Geographic location.
* Worldview, values, and traditions.
* Family and kinship.
* Gender roles.
* Socioeconomic status and education.

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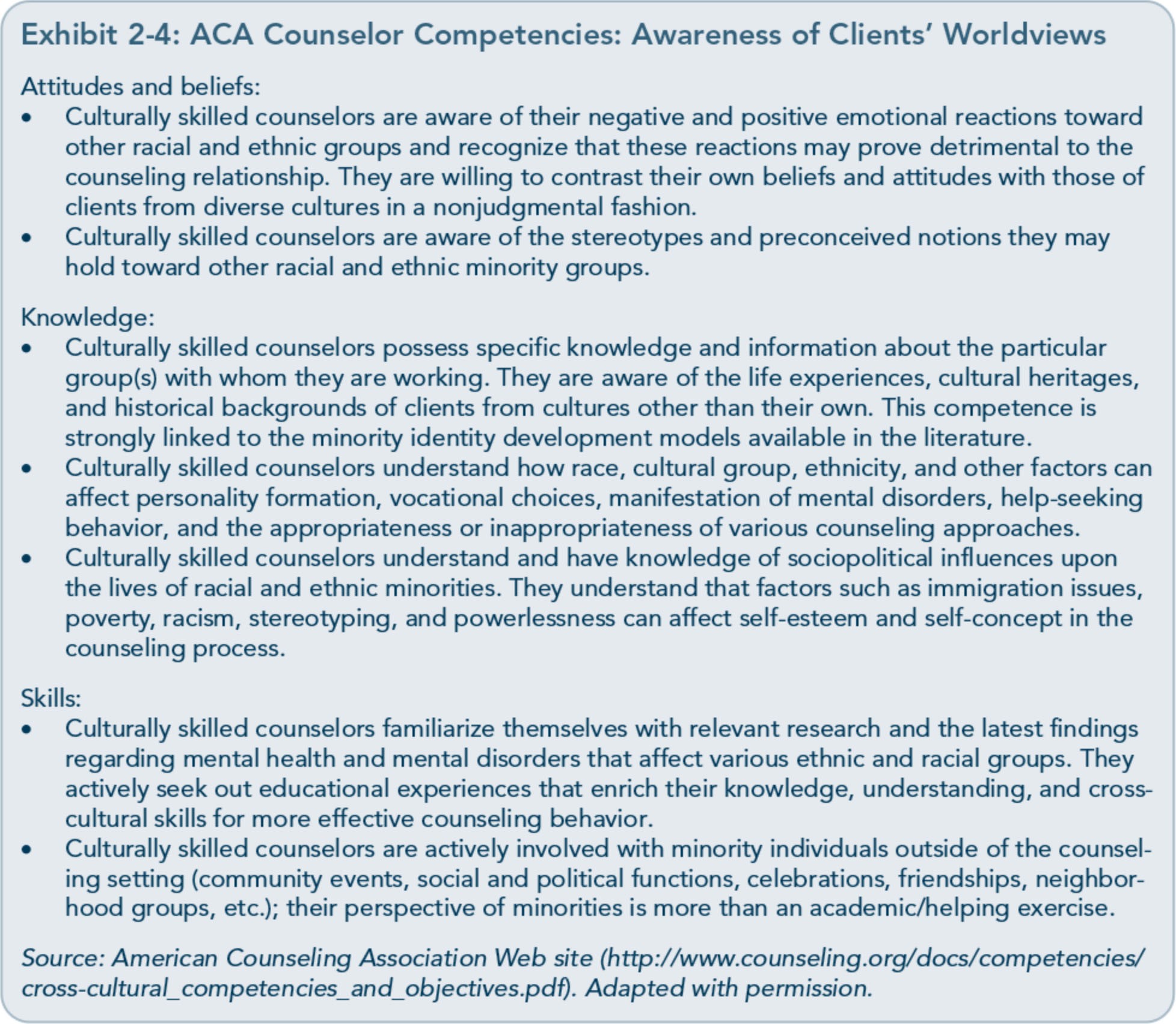
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* + Immigration, migration, and acculturation stress.
  + Acculturation and cultural identification.
  + Heritage and history.
  + Sexuality.
  + Religion and spirituality.
  + Health, illness, and healing.

Counselors should not make assumptions about clients' race, ethnic heritage, or culture based on appearance, accents, behavior, or language. Instead, counselors need to explore with clients their cultural identity, which can involve multiple identities (Lynch and Hanson 2011). Counselors should discuss what cultural identity means to clients and how it influences

treatment. For example, a young adult two­ spirited (gay) American Indian man may be more concerned with having access to tradi­ tional healing practices than to specialized services for gay men. Counselors and clients should collaboratively examine presenting treatment issues and obstacles to engaging in behavioral health treatment and maintaining recovery, and they should discuss how cultural groups and cultural identities can serve as guideposts in treatment planning.

Exhibit 2-4 lists ACA-endorsed counselor competencies for knowledge of the worldviews of clients from diverse cultural groups.



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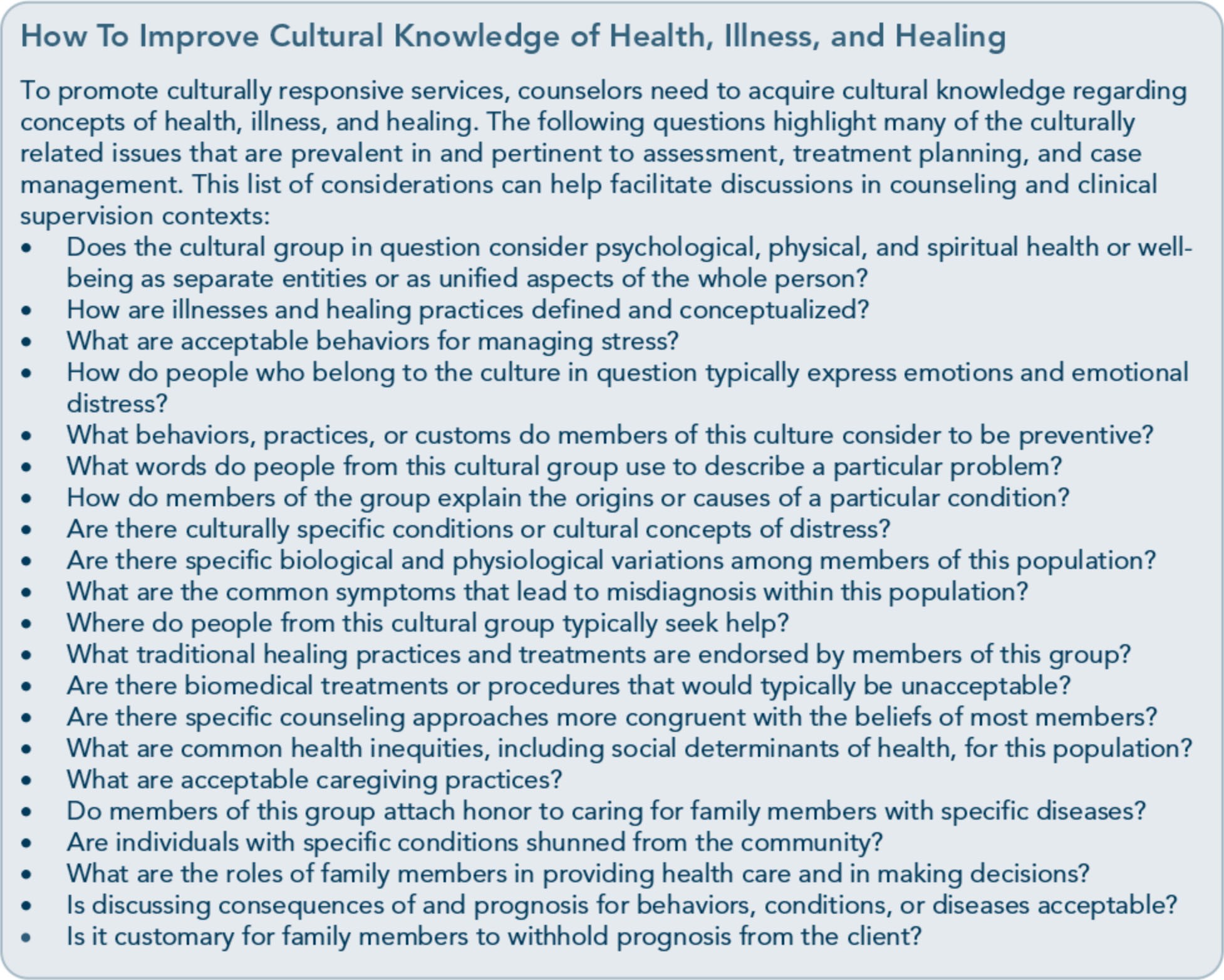
#### Cultural Knowledge of Behavioral Health

Counselors should learn how culture interacts with health beliefs, substance use, and other behavioral health issues. They can access litera­ ture and training that address cultural contexts and meanings of substance use, behavioral and emotional reactions, help-seeking behavior, and treatment. Chapter 5 gives information on culturally responsive behavioral health services for major ethnic and racial groups. The how­ to box below lists ways to improve one's cul­ tural knowledge of health issues by acquiring knowledge in key areas to work successfully with diverse clients:

* + Patterns of substance use and treatment­ seeking behavior specific to people of

diverse racial and cultural backgrounds.

* Beliefs and traditions surrounding sub­ stance use, including cultural norms con­ cerning the use of alcohol and drugs.
* Beliefs about treatment, including expecta­ tions and attitudes toward health care and counseling.
* Community perceptions of behavioral health treatment.
* Obstacles encountered by specific popula­ tions that make it difficult to access treat­ ment, such as geographic distance from treatment services.
* Patterns of co-occurring disorders and conditions specific to people from diverse racial and cultural backgrounds (e.g., cul­ turally specific syndromes, earlier onset of



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diabetes, higher prevalence of depression and substance dependence).

* + Assessment and diagnosis, including culturally appropriate screening and as­ sessment and awareness of common diag­ nostic biases associated with symptom presentation.
  + Individual, family, and group therapy approaches that hold promise in address­ ing mental and substance-related disorders specific to the racial and cultural back­ grounds of diverse clients.
  + Culturally appropriate peer support, mutual-help, and other support groups (e.g., the Wellbriety movement, a cultural­ ly appropriate 12-Step program for Native American people).
  + Traditional healing and complementary methods (e.g., use of spiritual leaders, herbs, and rituals).
  + Continuing care and relapse prevention, including attention to clients' cultural en­ vironments, treatment needs, and accessi­ bility of care within their communities.
  + Treatment engagement/retention patterns.

#### Skill Development

Becoming culturally competent is an ongoing process-one that requires introspection, awareness, knowledge, and skill development. Counselors need to develop a positive attitude toward learning about multiple cultural

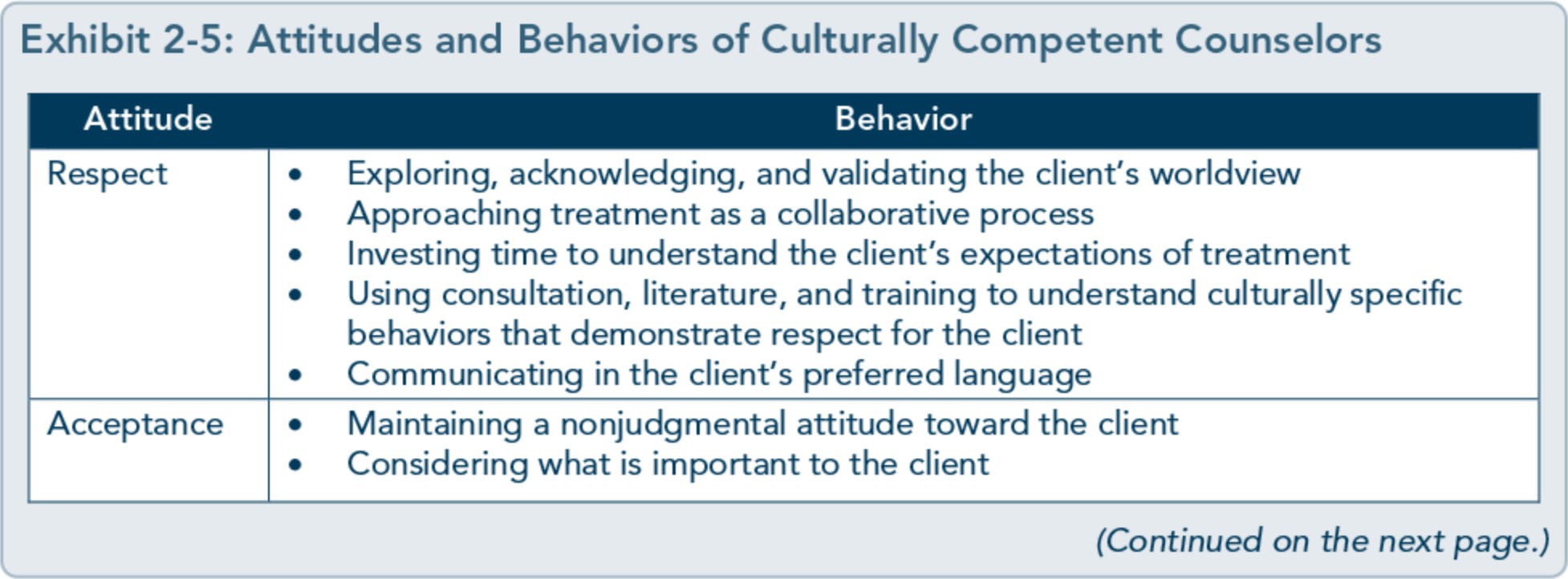
groups; in essence, counselors should commit to cultural competence and the process of growth. This commitment is evidenced via investment in ongoing learning and the pur­ suit of culturally congruent skills. Counselors can demonstrate commitment to cultural competence through the attitudes and corre­ sponding behaviors indicated in Exhibit 2-5.

Beyond the commitment to and development of these fundamental attitudes and behaviors, counselors need to work toward intervention strategies that integrate the skills discussed in the following sections.

##### *Frame issues in culturally relevant* ways

Counselors should frame clinical issues with culturally appropriate references. For example, in cultural groups that value the community or family as much as the individual, it is helpful to address substance abuse in light ofits con­ sequences to family or the community. The counselor might ask, "How are your family and community affected by your use? How do family and community members feel when they see you high?" For clients who place more value on their independence, it can be more effective to point out how substance depend­ ence undermines their ability to manage their own lives through questions like "How might

your use affect your ability to reach your goals?"



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**Exhibit 2-5: Attitudes and Behaviors of Culturally Competent Counselors**

**{continued)**

|  |  |
| --- | --- |
| **Attitude Behavior** | |
| Sensitivity | * Understanding the client's experiences of racism, stereotyping, and discrimi- nation * Exploring the client's cultural identity and what it means to her/him * Actively involving oneself with individuals from diverse backgrounds outside the counseling setting to foster a perspective that is more than academic or   work related   * Adopting a broader view of family and, when appropriate, including other family or community members in the treatment process * Tailoring treatment to meet the cultural needs of the client (e.g., providing outside resources for traditional healing) |
| Commitment to equality | * Proactively addressing racism or bias as it occurs in treatment (e.g., pro- cessing derogatory comments made by another client in a group counseling session) * Identifying the specific barriers to treatment engagement and retention among the populations being served * Recognizing that equality of treatment does not translate to equity-that equity is defined as equality in opportunity, access, and outcome (Srivastava 2007) * Endorsing counseling strategies and treatment approaches that match the unmet needs of diverse populations to ensure treatment engagement, reten- tion, and positive outcomes |
| Openness | * Recognizing the value of traditional healing and help-seeking practices * Developing alliances and relationships with traditional practitioners * Seeking consultation with traditional healers and religious and spiritual lead- ers when appropriate * Understanding and accepting that persons from diverse cultural groups can use different cognitive styles (e.g., placing more attention on reflecting and processing than on content; being task oriented) |
| Humility | * Recognizing that the client's trust is earned through consistent and compe- tent behavior rather than the potential status and power that is ascribed to the role of counselor * Acknowledging the limits of one's competencies and expertise and referring clients to a more appropriate counselor or service when necessary * Seeking consultation, clinical supervision, and training to expand cultural knowledge and cultural competence in counseling skills * Seeking to understand oneself as influenced by ethnicity and cultural groups and actively seeking a nonracist identity * Being sensitive to the power differential between client and counselor |
| Flexibility | * Using a variety of verbal and nonverbal responses, approaches, or styles to suit the cultural context of the client * Accommodating different learning styles in treatment approaches (e.g., the use of role-plays or experiential activities to demonstrate coping skills or al- cohol and drug refusal skills) * Using cultural, socioeconomic, environmental, and political contextual factors in conducting evaluations * Integrating cultural practices as treatment strategies (e.g., Alaska Native traditional practices, such as tundra walking and sustenance activities) |

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##### *Allow for complexity* of *issues based*

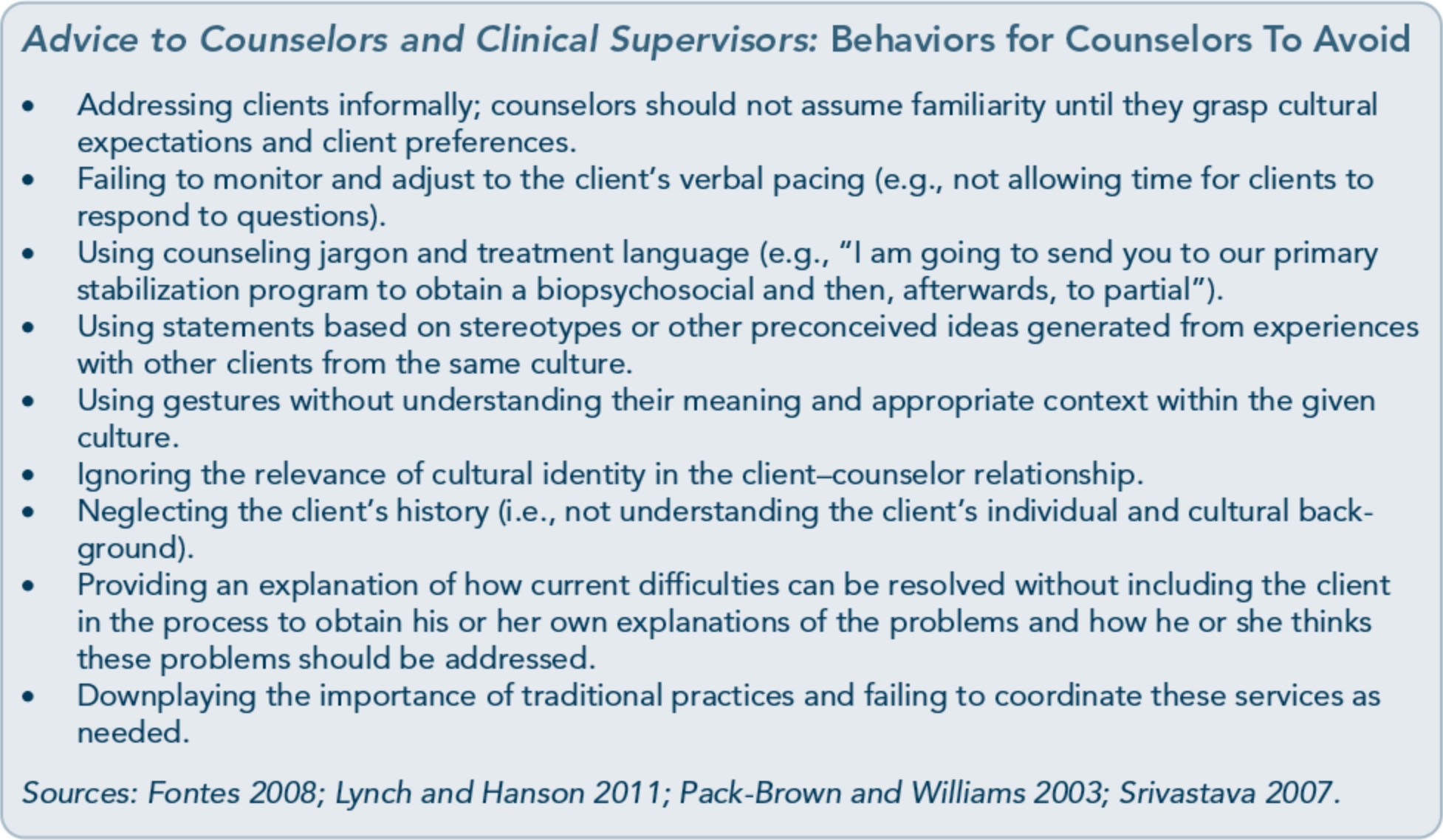
**on *cultural context***

Counselors must take care with suggesting simple solutions to complex problems. It is often better to acknowledge the intricacies of the client's cultural context and circumstances. For instance, a Native American single mother who upholds traditional values could balk at a suggestion to stop spending time with family members who drink heavily. Here, the counse­ lor might encourage the woman to broaden support within her community by connecting with an elder who supports recovery or by engaging in a women's talking circle. Likewise, a referral for a psychiatric evaluation for major depression may not be an appropriate initial recommendation for a Chinese client who relies on cultural remedies and healing tradi­ tions. An alternative approach would be to explore the client's beliefs in healing, develop steps that respect and incorporate the client's help-seeking practices, and coordinate services to secure a culturally responsive intervention (Cardemil et al. 2011; Gallardo et al. 2012; Lynch and Hanson 2011).

##### *Make allowances for variations in* the use of *personal space*

Cultural groups have different expectations and norms of propriety concerning how close people can be while they communicate and how personal communications can be depend­ ing on the type of relationship (e.g., peers versus elders). The concept of personal space involves more than the physical distance between people. It also involves cultural expec­ tations regarding posture or stance and the use of space within a given environment. These cultural expectations, although they are subtle, can have an impact on treatment. For example, an Alaska Native may feel more comfortable sitting beside a counselor, whereas a European may prefer to be separated from a counselor by a desk (Sue and Sue 2013a). The use of space can also be a nonverbal expression of power.

Standing too close to someone can, for exam­ ple, suggest power over them. Standing too far away or sitting behind a desk can indicate aloofness. Acceptable or expected degrees of closeness between people are culturally specific; counselors should be educated on the general



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parameters and expectations of the given population. However, counselors should not predetermine the clients' expectations; instead, they should follow the clients' lead and inquire about their preferences.

##### *Display sensitivity* to *culturally* specific meanings of *touch*

Some treatment and many support groups have opening or closing traditions that include holding hands or giving hugs. This form of touching can be very uncomfortable to new clients regardless of cultural groups; cultural prescriptions, including religious beliefs, con­ cerning appropriate touching can compound this effect (Comas-Diaz 2012). Many cultural groups use touch to acknowledge or greet someone, to show respect or convey status or power, or to display comfort. As counselors, it is essential to understand cultural norms about touch, which often are guided by gender and age, and the contexts surrounding "appropri­ ate" touch for specific cultural groups (Sri­ vastava 2007). Counselors need to devote time to understanding their clients' norms for and interpretations of touch, to assisting clients in negotiating and upholding their cultural norms, and to helping clients understand the context and cultural norms that are likely to prevail in support and treatment groups.

##### *Explore culturally based experiences*

**of *power and powerlessness***

Ideas about power and powerlessness are influenced by the client's culture and social class. What constitutes power and powerless­ ness varies from culture to culture according to the individual's gender, age, occupation, ances­ try, religious affiliation, and a host of other factors. For example, power can be defined in terms of one's place within the family, with the oldest member being the most powerful and the youngest being the least powerful. Even the words "power" and "powerlessness" carry cultural meaning. These words can carry

negative connotations for clients with histories of discrimination and multiple experiences with racism, for some women, for indigenous peoples with histories of colonization, and for refugees or immigrants who have left oppres­ sive regimes. In this regard, counselors should use these words carefully. For example, a Hmong refugee who experienced trauma in her country of origin could already feel help­ less and powerless over the events that oc­ curred; thus, the concept of powerlessness, often used in drug and alcohol treatment programs, can be contraindicated in address­ ing her substance-related disorder. However, a White American business executive who has authority over others and a history of financial influence may need help acknowledging that he cannot control his substance abuse.

##### *Adjust communication styles* to *the* client's culture

Cultural groups all have different communica­ tion styles. Norms for communicating vary in and between cultural groups based on class, gender, geographic origins, religion, subcul­ tures, and other individual variations. Counse­ lors should educate themselves as much as possible regarding the patterns of communi­ cating in the client's cultural, racial, or ethnic population while also being aware of his/her own communication style. For a comprehen­ sive guide in self-assessment and understand­ ing of communication styles, refer to *Culture Matters: The Peace Corps Cross-Cultural Workbook* (Peace Corps Information Collection and Exchange 2012).

The following are general guidelines for ascer­ taining the client's communication style:

* Understand the client's verbal and nonver­ bal ways of communicating. Be aware of the possible need to move away from comprehending and interpreting client re­ sponses in conventional professional ways

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**How To Assess Differences in Communication Styles**

This exercise can be used by counselors and clinical supervisors as a self-assessment tool and a means of exploring differences in communication styles among counselors, clients, and supervisors. It can also serve as a group exercise to help clients discuss and understand cultural differences in communicating with others. This self-administered tool promotes self-understanding and cultural knowledge. It is not an empirically based instrument, nor is it meant to assess client communication styles or skills formally.

**Materials needed:** Colored pencils/pens and copies of the exercise.

**Instructions:**

* + First, place an X along the line for each item that best matches your style or pattern of communi­ cation overall. Communication patterns can change across situations and environments depend­ ing on expectations, stress level, and familiarity, (e.g., attending a staff meeting versus spending time with friends); try to assign the style that best reflects your patterns across situations.
  + After reviewing your own patterns, compare differences between you and your client, clinical supervisor, or fellow staff member. For example, select a recent client you treated and place a second X (using a different color pen) on each line to mark your perceived view of this client's communication style. Then examine the differences between you and your client and generate a list of potential misunderstandings that could occur due to these differences. Use clinical supervi­ sion to discuss how your own patterns can hinder and/or promote the counseling process.

**NONVERBAL PATTERNS**

**Eye Contact**

When talking: Direct, sustained

When listening: Direct, sustained

**Vocal Pitch/Tone**

High/loud

More expressive

**Speech Rate**

Fast

**Pauses or Silence**

Little use of silence in dialog

**Facial Expressions**

Frequent expression

**Use of Other Gestures**

Frequent expression

**VERBAL PATTERNS**

**Emotional Expression**

Does express and identify feel­ ings in speech

**Self-Disclosure**

Frequently

**Formality**

Informal

Indirect or not sustained Indirect or not sustained

Low/soft

Less expressive Slow

Pauses; uses silence in dialog Little expression

Little expression

Does not express or identify feelings in speech

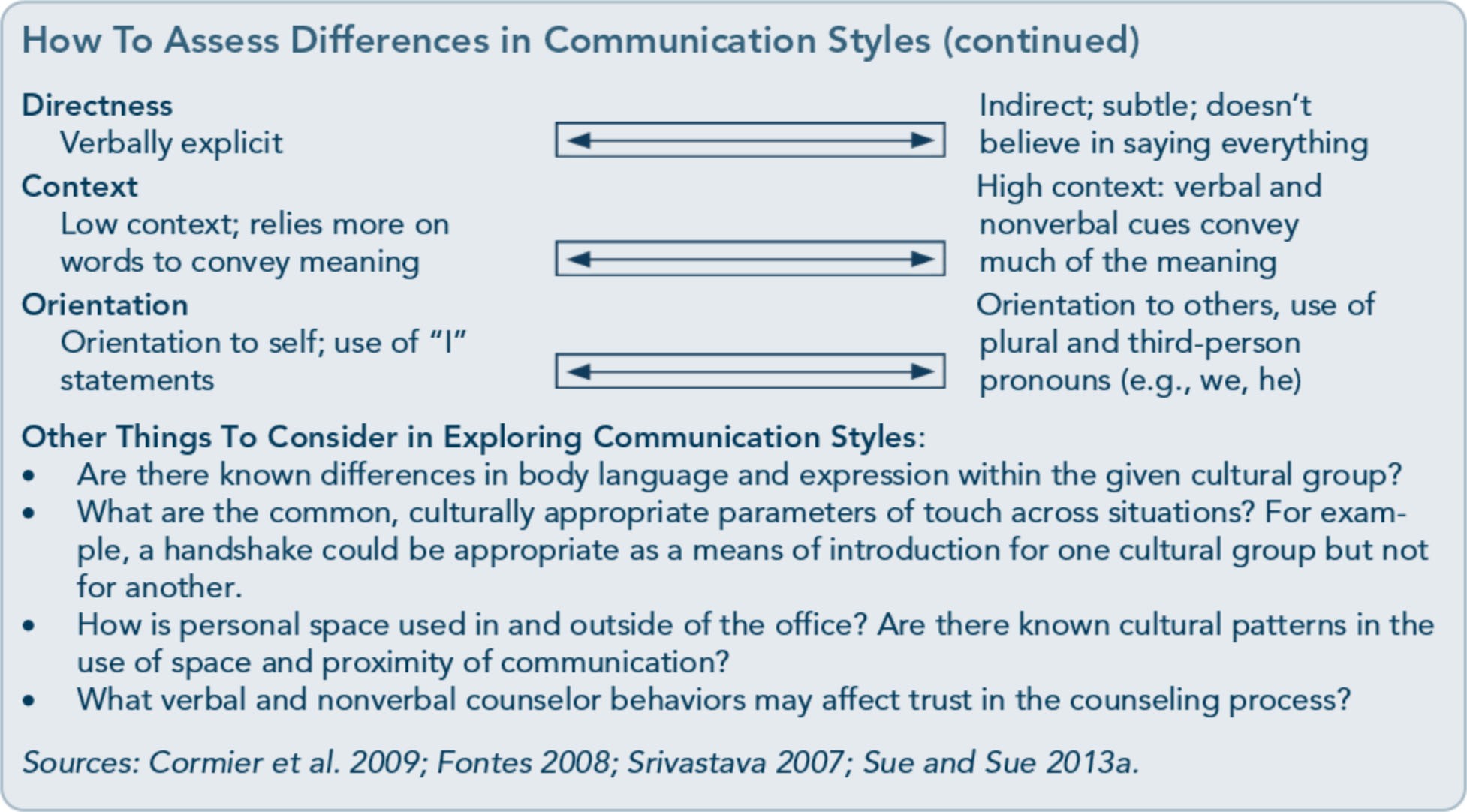
Rarely or little

Formal in addressing others and showing respect

*(Continued* on *the next page.)*

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(Bland and Kraft 1998). Always be curious about the client's cultural context and be willing to seek clarification and better un­ derstanding from the client. It is as im­ portant for counselors to access and engage in cultural consultation to acquire more specific knowledge and experience.

* Styles of communication and nonverbal methods of communication are important aspects of cultural groups. Issues such as the appropriate space to have between people; preferred ways of moving, sitting, and standing; the meaning of gestures; and the degree of eye contact expected are all culturally defined and situation specific (Hall 1976). As an example, high-context cultural groups place greater importance on nonverbal cues and message context, whereas low-context cultural groups rely largely on verbal message content. Most Asian Americans come from high-context cultural groups in which sensitive messages are encoded carefully to avoid offending others. A provider who listens only to the content could miss the message. What is

not said can possibly be more important than what is said.

* Listen to storytelling carefully, as it can be a way of communicating with the thera­ pist. As in any good therapy, follow the as­ sociations and listen for possible metaphors to better understand relational meaning, cognition, and emotion within the context of the conversation.

##### *Interpret emotional expressions in* light of *the client's culture*

Feelings are expressed differently across and within cultural groups and are influenced by the nature of a given event and the individuals involved in the situation. A certain level of emotional expression can be socially appropri­ ate within one culture yet inappropriate in another. In some cultural groups, feelings may not be expressed directly, whereas in other cultural groups, some emotions are readily expressed and others suppressed. For example, expressions of sadness may at first be more readily shared by some clients in counseling settings, whereas others may find it more

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comfortable to express anger as their initial response. Counselors must recognize that not all cultures place the same value on verbalizing feelings. In fact, clients from some cultures may not perceive that emotional expression is a worthy course of treatment and healing at all. Thus, counselors should not impose a prescribed approach that measures progress and equates healing with the ability to display emotions. Likewise, counselors should be careful not to attribute meaning based on their own cultural backgrounds or to project their own feelings onto clients' experiences. Instead, counselors need to assist their clients in iden­ tifying and labeling feelings within their own cultural contexts.

***Expand roles and practices*** Counselors need to acquire a mindset that allows for more flexible roles and practices­

while still maintaining appropriate profession­ al boundaries-when working with clients.

Some clients whose culture places considera­ ble emphasis upon and orientation toward family could look to counselors for advice with unrelated issues pertaining to other family members. Other clients may expect a more prescribed and structured approach in which counselors give specific recommendations and advice in the session. For example, Asian American clients appear to expect and benefit from a more directive and highly structured approach (Fowler et al. 2011; Lee and Mock *2005a;* Sue 2001; Uba 1994). Still others could expect that counselors be connected to their communities through participation in com­ munity events, in working with traditional healers, or in building collaborative relation­ ships with other community agencies. As counselors, it is important to understand the cultural contexts of clients and how this trans­ lates to expectations in the client-counselor relationship. The appropriate role usually



Results from the counselor's understanding of and sensitivity to the values, cultures, and special needs of the individuals and groups being served (Sue and Sue 2013d). Counselors need to adopt an ongoing commitment to developing skills and endorsing practices that assist clients in receiving and experiencing the best possible care. Exhibit 2-6 lists counselor competencies endorsed by ACA for culturally appropriate intervention strategies.

### Self-Assessment for Individual Cultural Competence

Several instruments for evaluating an individ­ ual's cultural competence have been developed and are available online. One assessment tool that has been widely circulated is Goode's *Se!f­ Assessment Checklist far Personnel Providing Services and Supports to Children and Youth With Special Health Needs and Their Families.* It can be adapted for counselors treating adult clients with behavioral health concerns. This tool and other additional resources are provid­ ed in Appendix C. For an interactive Web­ based tool on cultural competence awareness, visit the American Speech-Language-Hearing Association Web site (http://www.asha.org).

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**Exhibit 2-6: ACA Counselor Competencies: Culturally Appropriate Intervention Strategies**

Attitudes and beliefs:

* + Culturally skilled counselors respect clients' religious and/or spiritual beliefs and values, includ­ ing attributions and taboos, because they affect worldview, psychosocial functioning, and ex­ pressions of distress.
  + Culturally skilled counselors respect traditional helping practices and intrinsic help-giving net­ works in minority communities.
  + Culturally skilled counselors value bilingualism and do not view another language as an impedi­ ment to counseling.

Knowledge:

* + Culturally skilled counselors have a clear and explicit knowledge and understanding of the generic characteristics of counseling and therapy (culture bound, class bound, and monolingual) and how they could clash with the cultural values of various minority groups.
  + Culturally skilled counselors are aware of institutional barriers that prevent minorities from using behavioral health services.
  + Culturally skilled counselors know of the potential biases in assessment instruments and use pro­ cedures and interpret findings in keeping with the cultural and linguistic characteristics of clients.
  + Culturally skilled counselors have knowledge of minority family structures, hierarchies, values, and beliefs. They are knowledgeable about family and community characteristics and resources.
  + Culturally skilled counselors are aware of relevant discriminatory practices at the social and com­ munity levels that could be affecting the psychological welfare of the populations being served.

Skills:

* + Culturally skilled counselors are able to engage in a variety of verbal and nonverbal helping responses. They are able to send and receive both verbal and nonverbal messages accurately and appropriately. They are not tied down to only one method or approach, recognizing that helping styles and approaches can be culture bound. When they sense that their helping style is limited and potentially inappropriate, they can anticipate and ameliorate its negative impact.
  + Culturally skilled counselors are able to exercise institutional intervention skills on behalf of their clients. They can help clients determine whether a problem stems from racism or bias in others (the concept of health paranoia) so that clients do not inappropriately personalize problems.
  + Culturally skilled counselors are not averse to seeking consultation with traditional healers, religious and spiritual leaders, and practitioners in the treatment of culturally diverse clients when appropriate.
  + Culturally skilled counselors take responsibility for interacting in the languages requested by their clients; if not feasible, they make appropriate referrals. A serious problem arises when the linguistic skills of a counselor do not match the language of the client. When language matching is not possible, counselors should seek a translator with cultural knowledge and appropriate pro­ fessional background and/or refer to a knowledgeable and competent bilingual counselor.
  + Culturally skilled counselors have training and expertise in the use of traditional assessment and testing instruments, understand their technical aspects, and are aware of their cultural limita­ tions. This allows counselors to use test instruments for the welfare of diverse clients.
  + Culturally skilled counselors are aware of and work to eliminate biases, prejudices, and discrimi­ natory practices. They are aware of sociopolitical contexts in conducting evaluation and provid­ ing interventions and are sensitive to issues of oppression, sexism, elitism, and racism.
  + Culturally skilled counselors educate clients about the processes of psychological intervention, explaining such elements as goals, expectations, legal rights, and the counselor's theoretical orien­ tation.

*Source: American Counseling Association Web site (*[*http://www.counseling.org/docs/competencies/*](http://www.counseling.org/docs/competencies/) *cross-cultural\_competencies\_and\_objectives.pdf). Adapted with permission.*

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